OTPE 42006 BOTO A 2006 BOTO A

Certification under 37 CFR 1.8(a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with The United States Postal Service with sufficient postage as first class mail in an envelope addressed to THE COMMISSIONER FOR PATENTS, P.O. Box 1450, Alexandria, VA 22313-1450 on October 2, 2006.

Brian W. Hameder (Reg. No. 45,613)

Name

Signature

DOCKET: CU-4985

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Norihisa MORIYA

Serial No.: 10/586,844

Group Art Unit:

Filed: July 20, 2006

Examiner:

For: COLOR FILTER WITH RETARDATION LAYER AND LIQUID

THE COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, VA 22313-1450

# TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of the first Office Action on the merits, whichever event occurs last. 37 CFR 1.97(b).

Date: October 2, 2006

Signature of Attorney Brian W. Hameder Ladas & Parry LLP

224 South Michigan Avenue

Chicago Illinois 60604 Tel. No. (312) 427-1300

Reg. No. 45613

OCT 0 4 2006 W

Certification under 37 CFR 1.8(a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with The United States Postal Service with sufficient postage as first class mail in an envelope addressed to THE COMMISSIONER FOR PATENTS, P.O. Box 1450, Alexandria, VA 22313-1450 on October 2, 2006.

Brian W. Hameder (Reg. No. 45,613)

Name

Signature

DOCKET: CU-4985

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Norihisa MORIYA

Serial No.: 10/586,844

Group Art Unit:

Filed: July 20, 2006

Examiner:

For: COLOR FILTER WITH RETARDATION LAYER AND LIQUID

THE COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, VA 22313-1450

#### **INFORMATION DISCLOSURE STATEMENT**

Applicants submit herewith patents, publications or other information of which the applicants are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose under 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

The references submitted herein are listed on PTO-1449 form (modified) enclosed herewith. A copy of each reference listed is being furnished except any duplicate or cumulative patents or publications specified otherwise. Also, if the present application was filed after June 30, 2003, copies of US patents or published applications are not submitted in accordance with the USPTO Rule changes.

A translation of any foreign language reference, if any, is indicated in PTO-1449 form and being submitted herein if it is readily available. Otherwise it should be construed that such translation is not readily available.

Additional comments, if any, on the relevance of each reference listed are provided as follows:

Also submitted herein is a copy of the PCT Search Report which satisfies the requirement for a translation or concise explanation of any non-English reference cited therein, as provided in MPEP §609 A(3).

The Statement is made on the basis of the information:

ion

Respectfully submitted,

Date: October 2, 2006

Signature of Attorney Brian W. Hameder Ladas & Parry LLP

224 South Michigan Avenue

Chicago Illinois 60604 Tel. No. (312) 427-1300

Reg. No. 45613

FORM PTO-1449 (Modified)

ATTY. DOCKET NO.
CU-4895

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

APPLICANT
Norihisa MORIYA

FILING DATE

GROUP

(37 CFR 1.98(b))

#### U.S. PATENT DOCUMENTS

July 20, 2006

EXAMINER INITIAL	PATENT DOCUMENT	ISSUE/PUB DATE	PATENTEE	CLASS	SUB- CLASS	FILING DATE
	2004/0156001	08/12/04	Moriya			
						· ·

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

EXAMINER INITIAL	I		COUNTRY OR PATENT OFFICE	CLASS	SUB- CLASS	TRANSLATION YES   NO	
		07/25/01	EPO EPO		CLASS	1	
	WO 2004/068225	08/12/04	PCT				
	JP 10-153802	06/09/98	Japan				
	JP 2003- 315550	11/06/03	Japan				

 OTHER DOCUMENTS (Including Author, Title, Date, Place of publication)						

(Form PTO-1449)